

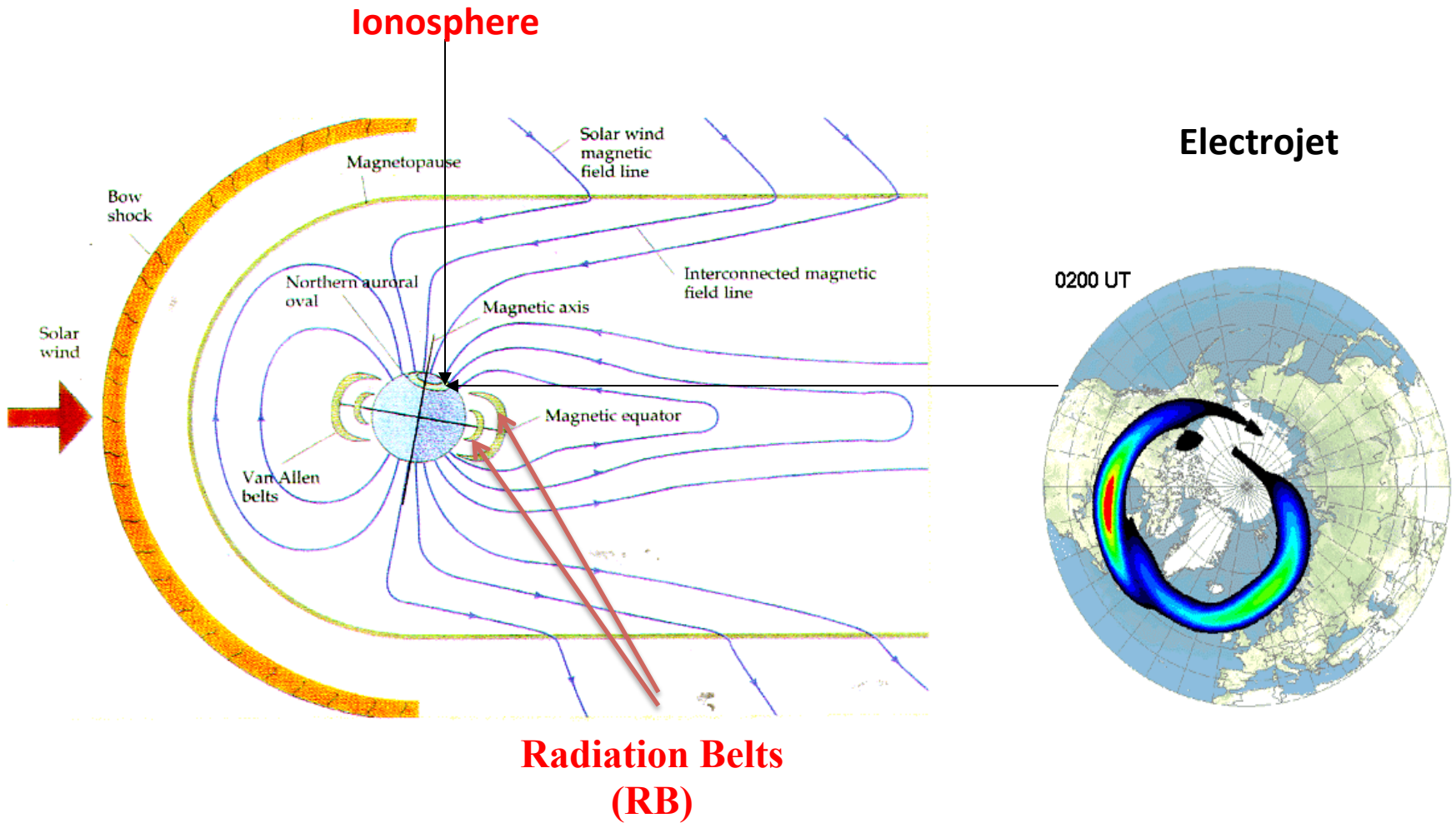
**INVITED TALK TO THE 8TH ANNUAL RF IONOSPHERIC
INTERACTIONS WORKSHOP 15-18 APRIL, 2012
SANTA FE, NM**

**INJECTION OF SHEAR ALFVEN WAVES IN THE
INNER RADIATION BELT USING THE ARECIBO
HEATER**

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**ACKNOWLEDGE: XI SHAO, S. SHARMA, G.
MILIKH, B. ELIASSON UMCP-MURI
C.L.CHANG BAE SYSTEMS - BRIOCHE
U. INAN STANFORD - MURI
W. GEKELMAN UCLA - MURI**

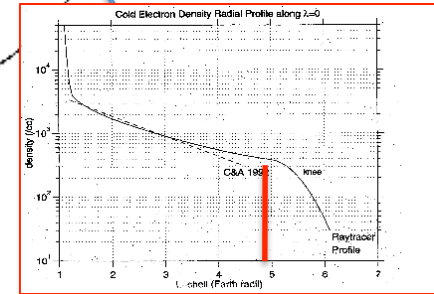
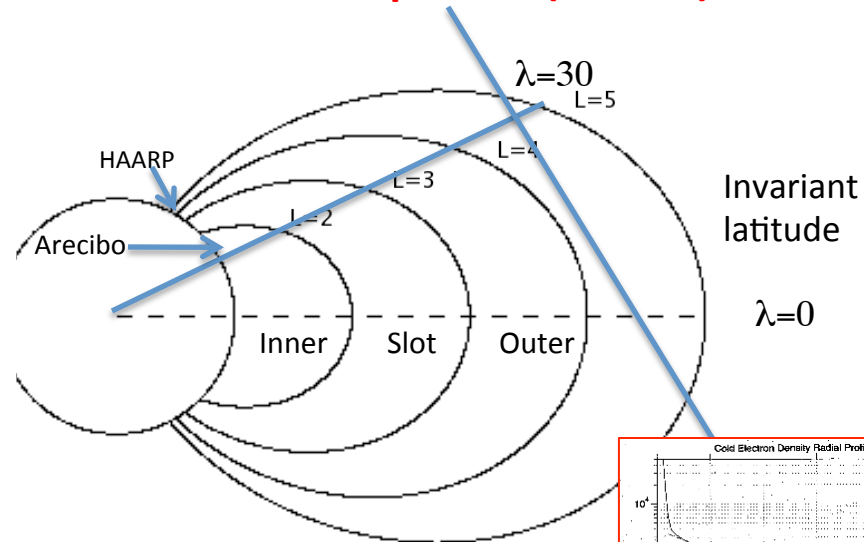
The Venue Space Plasma Environment



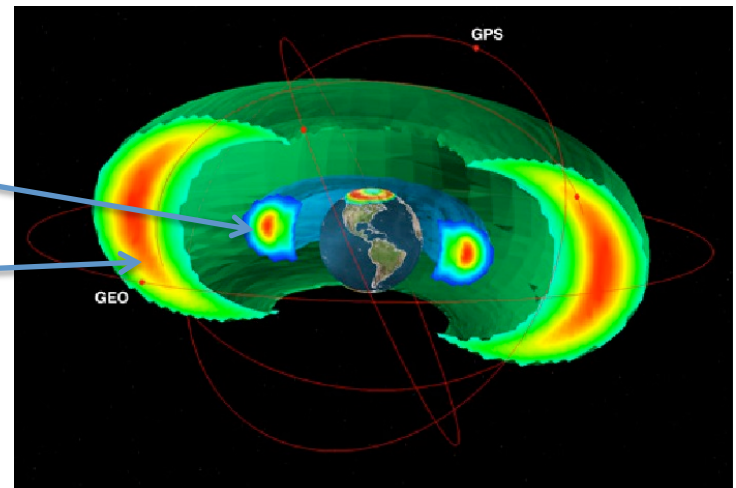
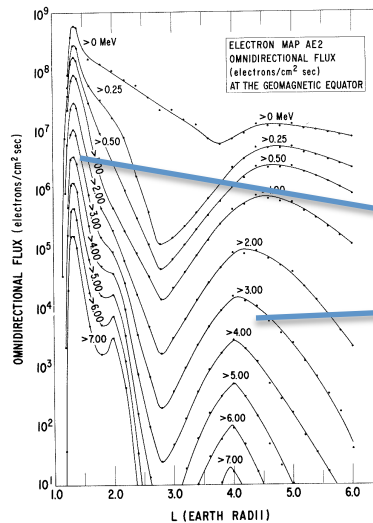
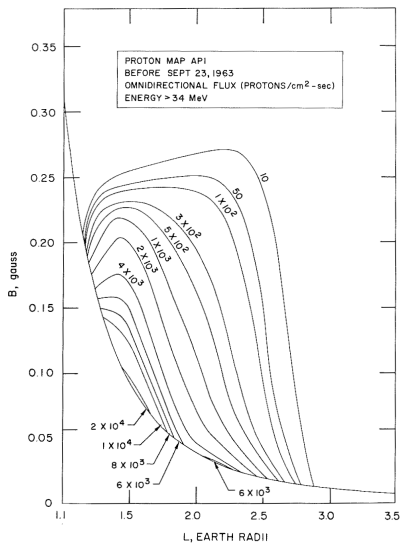
RB - BASICS

RB contains energetic (keV to MeV) charged particles trapped by the earth's dipole magnetic field and cold plasma (few eV)

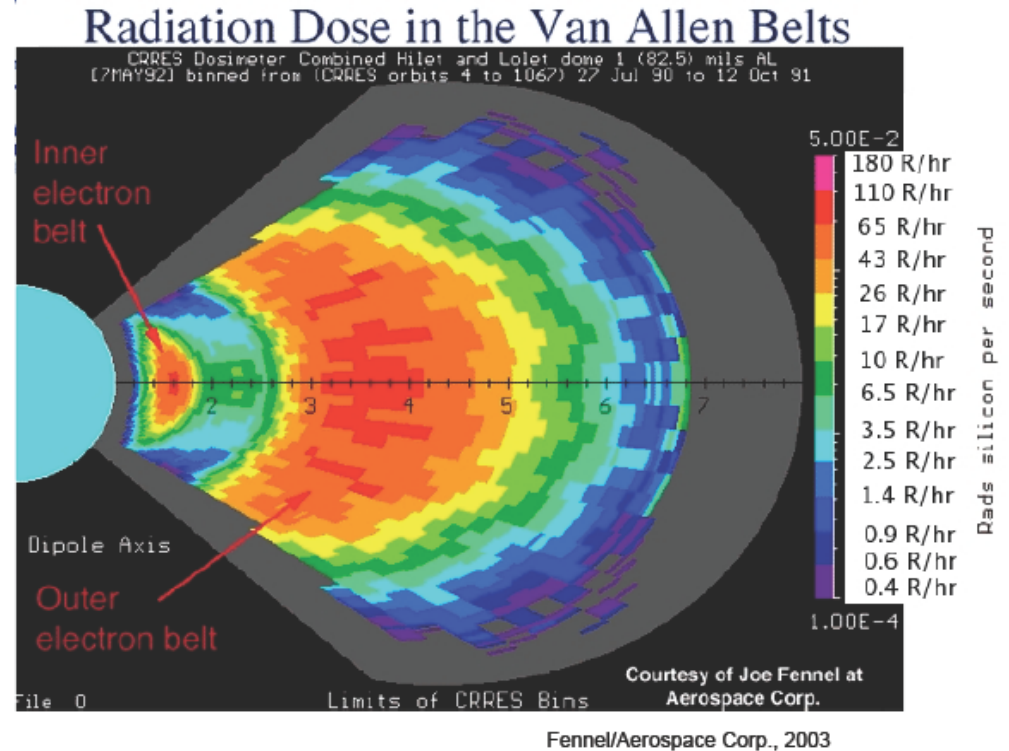
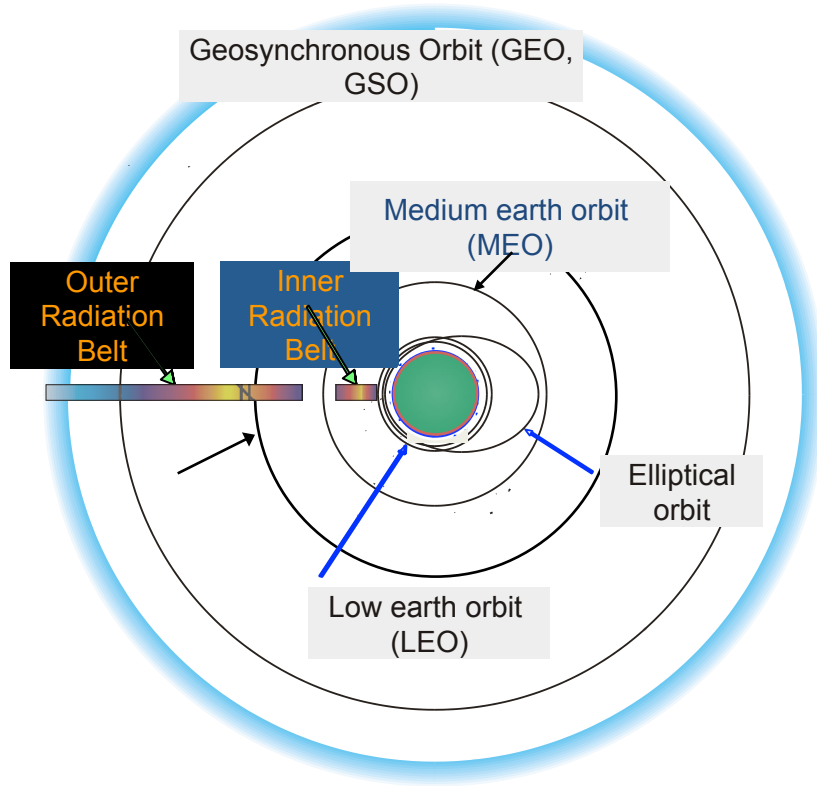
- Magnetic Configuration - Dipole
- L – Shells. $L=R/R_E$ along equator $-(L,\lambda)$
- Inner RB ($1.5 < L < 2.2$) (MeV electrons & multi-MeV protons – Long lifetime)
- Slot ($2.2 < L < 3$) – low electron flux
- Outer ($L > 3$) - Mostly MeV electrons; Very dynamic relatively short lifetime



Electron flux – bimodal
Proton flux monotonic



Why Care About RBs ?



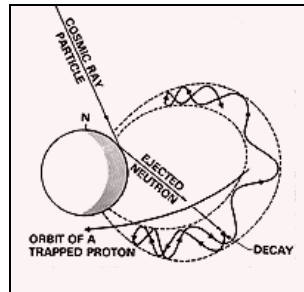
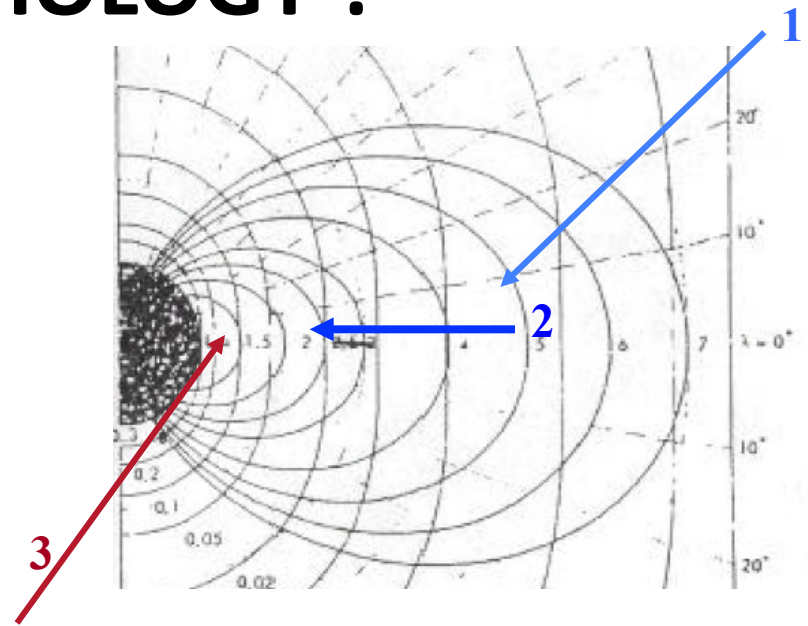
Lifetime and performance of space based assets depends critically on Energetic Particle Fluxes

WHAT CONTROLS THE RB MORPHOLOGY ?

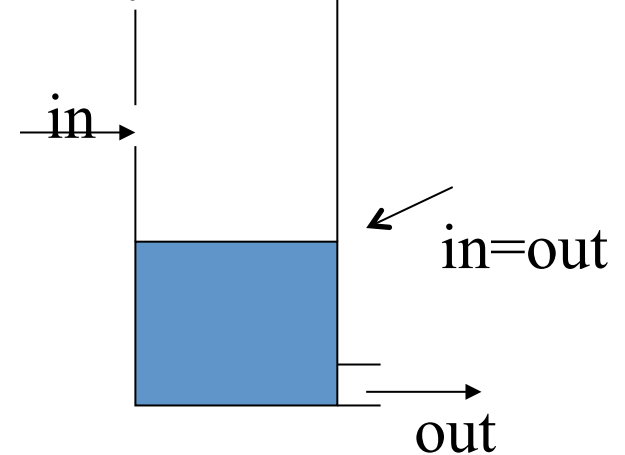
Rate of Flux Change = Sources – Losses

Sources - Natural

- Solar Wind Particles (outer belt)
 - Transport – Acceleration (gap)
 - Cosmic Ray Albedo (inner)
- $n \rightarrow p + e + \text{antineutrino}$



Leaky Bucket Model

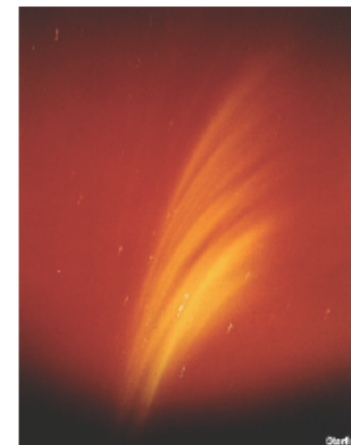
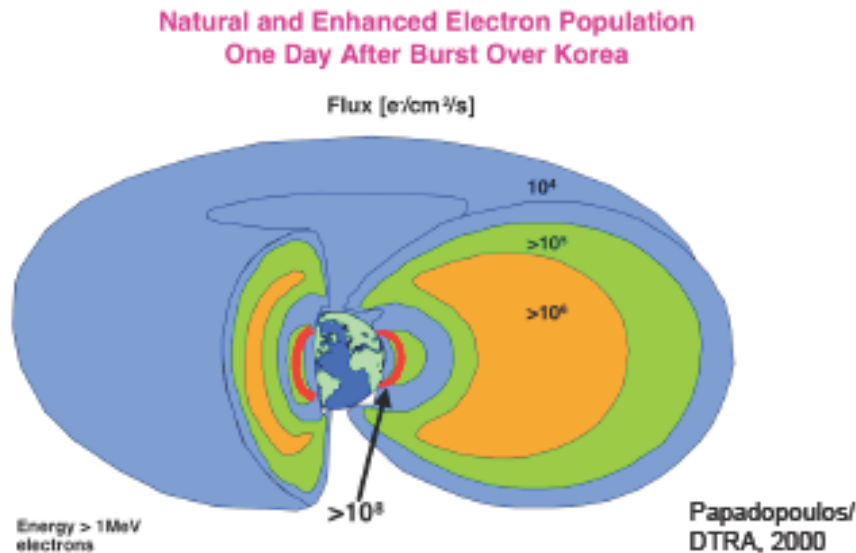


Radiation Belt Remediation (RBR)

What? Why?

Anthropogenic Sources

High Altitude Nuclear Explosions (HANE)- e.g. ARGUS, Starfish
beta decay of fission fragments creates Mev electrons (10^{25} /MT)



Starfish,
1962,
1.4 mt,
400 km alt.

Nuclear Weapon
Archive, 2005

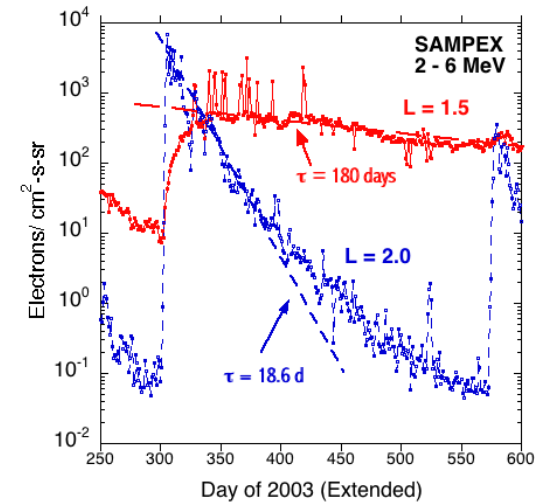
Current Threat: Intercept of nuclear warhead by BMD above 110 km –Iran, N. Korea – leads to loss of LEO satts within a month

Injected MeV electron trapped in inner RB till 1969

SUPERSTOMS – CARRINGTON EVENTS

FROM 1-10 NOVEMBER, 2003 OUTER BELT CENTERED NEAR $L \sim 2.5$ AND PLASMASPHERE WAS DISPLACED INWARDS LEADING IN NEW RADIATION BELT POPULATION IN THE SLOT AND INNER BELT.

DECAY RATES DEPENDED HIGHLY ON L VALUE AND VARIED FROM 35 DAYS TO MORE THAN A YEAR



350 nT

TABLE IV

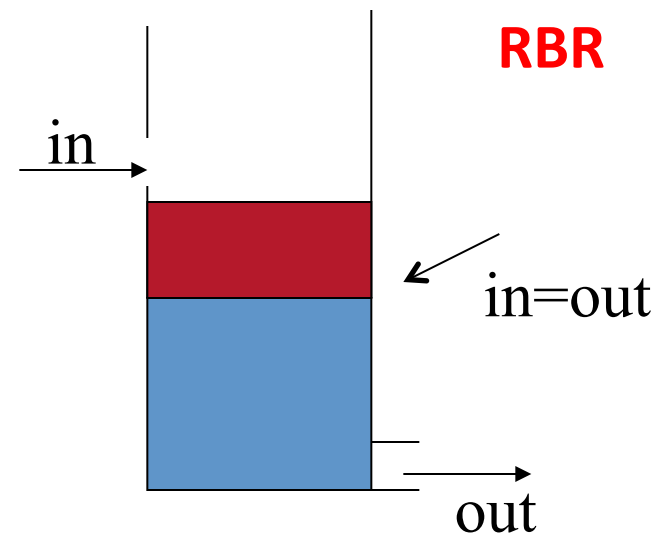
Chronological listing of outstanding geomagnetic storms recorded at Greenwich/Abinger, 1859–1954^a.

Date	Ranges		
	Declination (°)	Horizontal force (nT)	Vertical (nT)
01 Sep. 1859	$\gg 92$	$\gg 625$	1500
04 Feb. 1872	125	800	>950
17 Nov. 1882	115	>1090	>1060
31 Oct. 1903	119	1175	1440
25 Sep. 1909	193	1710	>1080
14 May 1921	110	$\gg 740$	$\gg 460$
25 Jan. 1938 ^b	126	1055	570
16 Apr. 1938	307	1375	500
24 Mar. 1940	131	1370	1000
01 Mar. 1941	186	1650	1310
18 Sep. 1941	123	1250	1115
28 Mar. 1946	162	1660	920
21 Sep. 1946	136	925	450

^aJones, 1955.

^bThis storm was not included in Chapman and Bartels' (1940) list of great storms from 1857–1939.

BAKER AND KANEKAL 2007



Physics of Loss Rate

Energetic Particle Motion in Dipole Fields

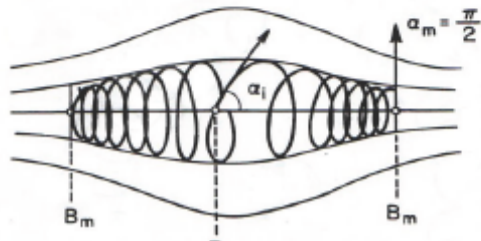
Adiabatic Invariants

Gyro motion:

- $\mathbf{v} \times \mathbf{B}$ acceleration leads to gyro motion about field lines
- frequencies \sim kHz
- associated 1st invariant μ , relativistic magnetic moment:

$$\mu = \frac{p^2 \sin^2 \alpha}{2m_0 B}$$

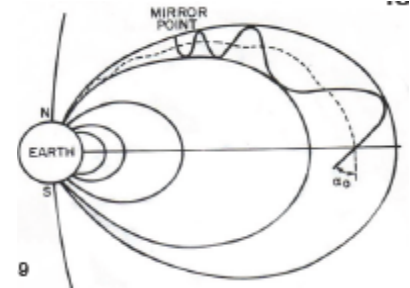
pitch angle α : $\tan \alpha = \frac{V_{\perp}}{V_{\parallel}}$



Bounce motion:

- As a particle gyrates down a field line, the pitch angle increases as B increases
- Motion along field line reverses when pitch angle reaches 90° (mirror point)
- period \sim sec
- associated 2nd invariant J , longitudinal invariant:

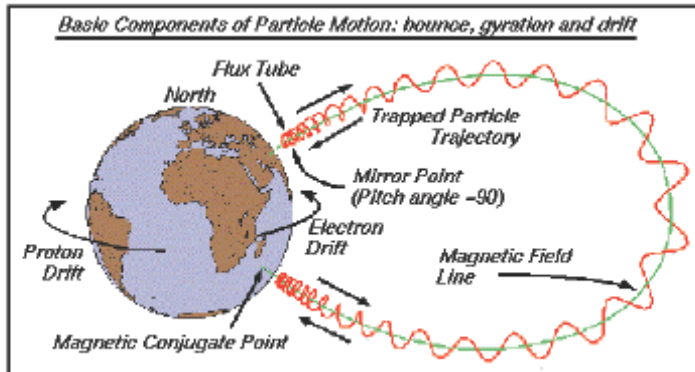
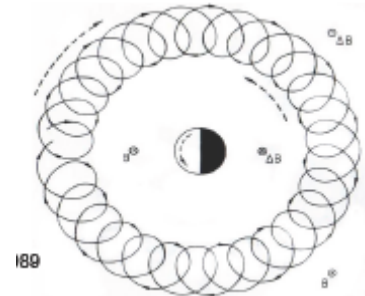
$$J = \int_{-l_m}^{+l_m} p_{\parallel} dl$$



R=100

Drift motion:

- Gradient in magnetic field leads to drift motion around Earth: east for electrons, west for protons/ions
- period \sim minutes
- associated 3rd invariant Φ , magnetic flux:



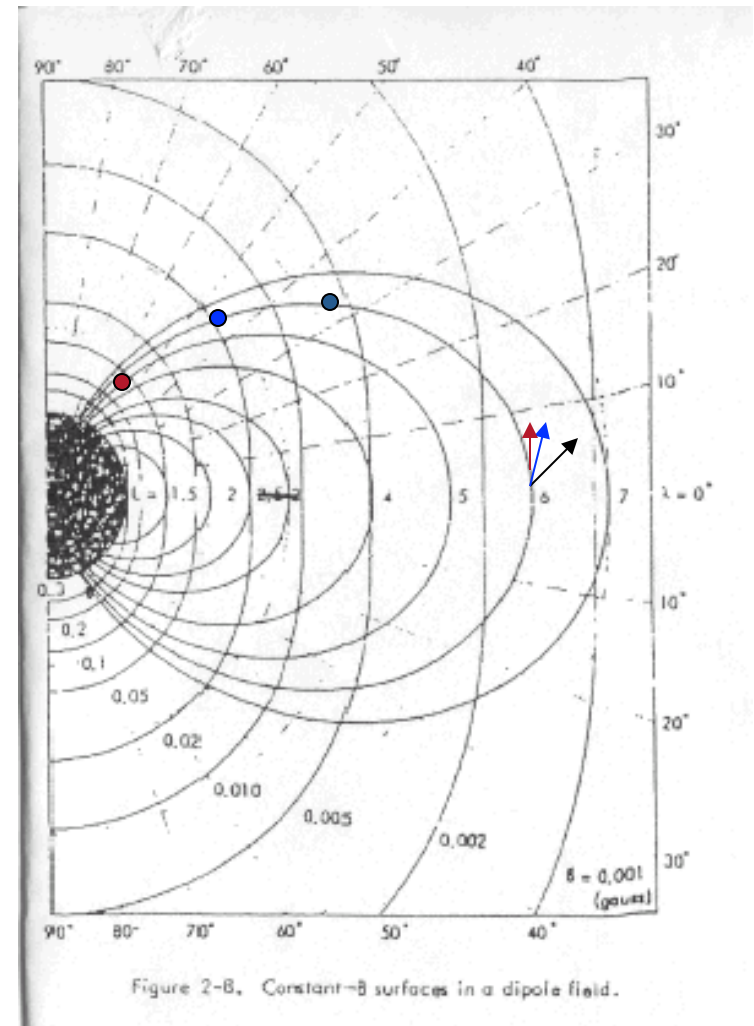
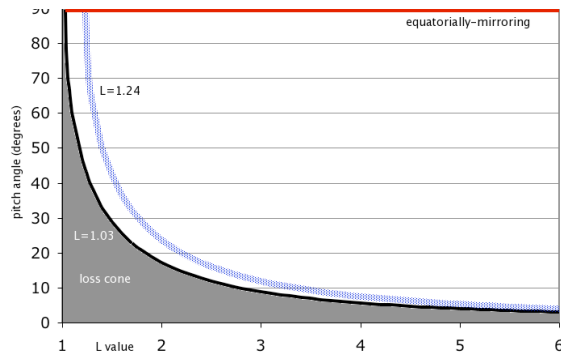
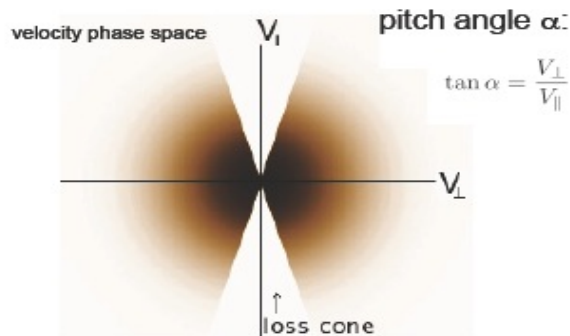
$$\Phi = -\frac{2\pi B_E R_E^2}{l}$$

How Are Trapped Particles Lost in RB ? Loss cone

Particles whose mirror point is below 100 km will be lost by precipitation



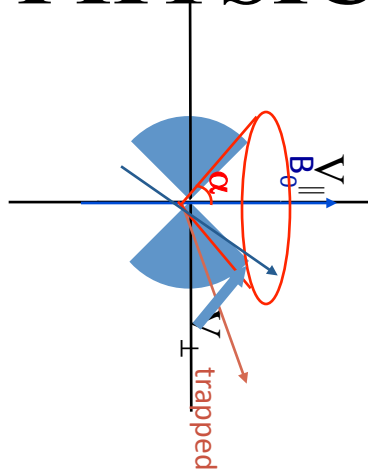
Loss Cone Distribution



Particles trapped forever unless the change **pitch-angle**

PHYSICS OF LOSS RATE

1. Collisional Elastic Scattering



Breaks down first adiabatic invariant $\mu \quad p_{\perp}^2 / B$

$$\omega - k_z v_z = n\Omega_e / \gamma$$

$$-k_z v_z \approx n\Omega_e / \gamma$$

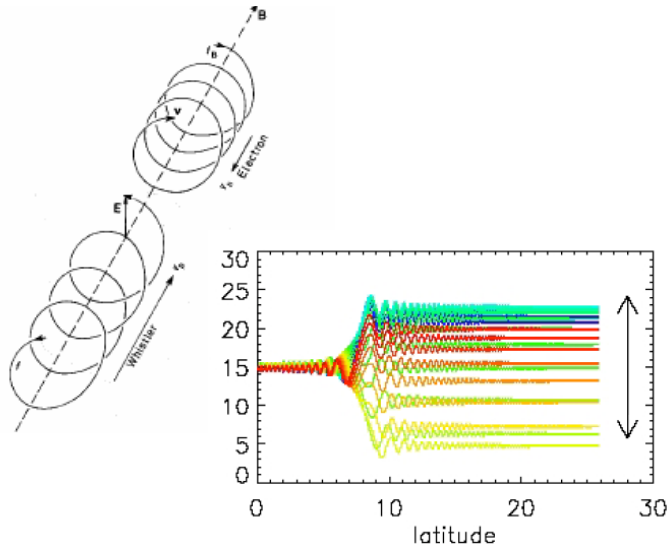
- All $\omega \ll \Omega_e$ waves pitch angle scatter electrons if they have the right value of k_z .

- Elastic scattering along energy conserving trajectories ($v \times b_w$)

$$D \equiv \langle \Delta\alpha\Delta\alpha \rangle / \tau ; \quad \Omega_e (b_w / B_o)^2$$

For protons replace Ω_e by Ω_p

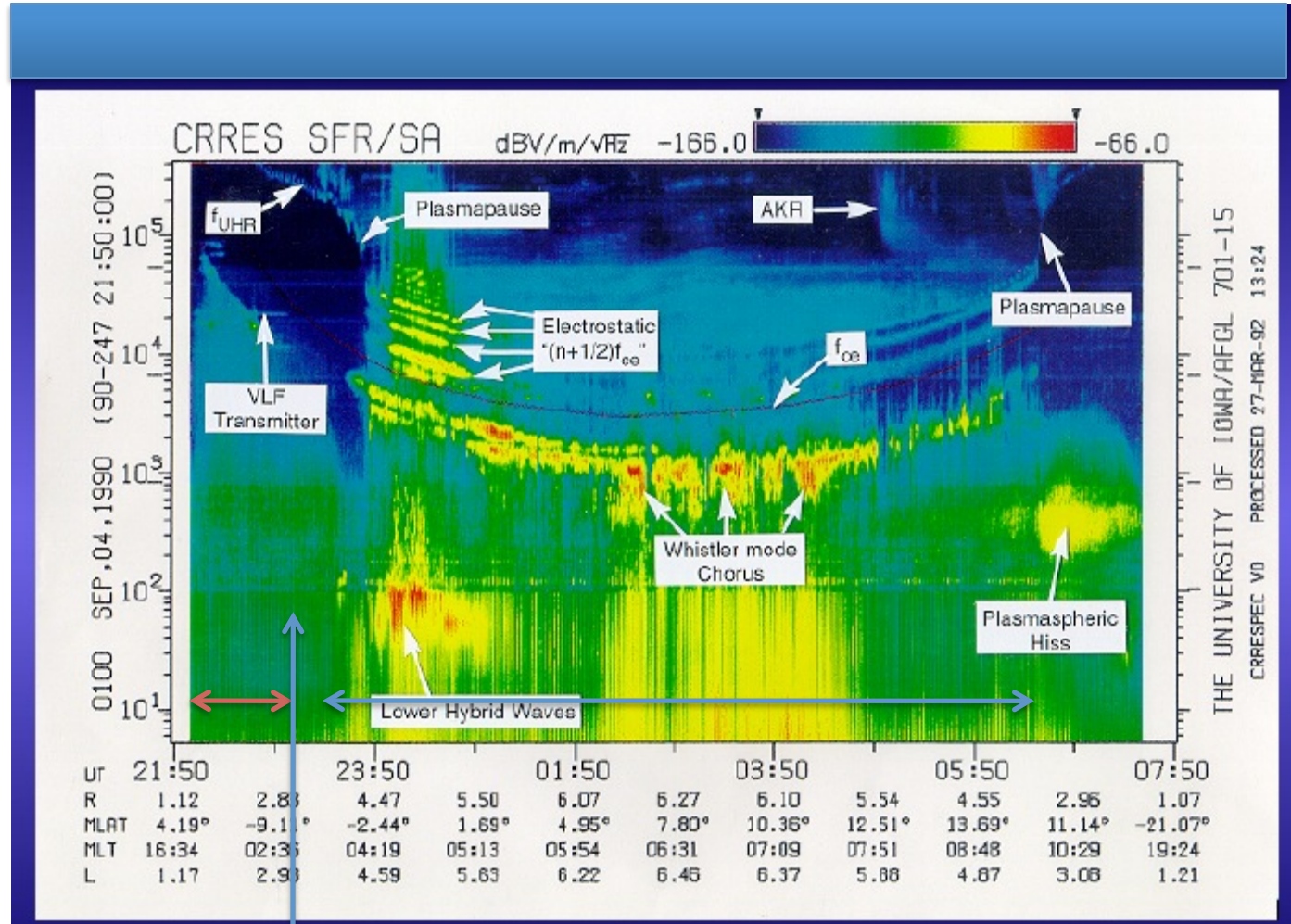
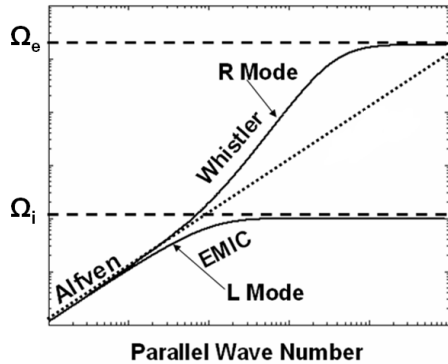
2. Resonant Wave-Particle (WP) interactions



Jay Albert

Are there waves in the RB?

Plasma Waves



THE UNIVERSITY OF IOWA/AFGL 701-15
CRRESPEC V0 PROCESSED 27-MAR-92 13:24

Lightning

Whistler: a. Hiss (incoherent, noise-like)
b. Chorus (coherent, discrete, spiky)

MHD: Shear Alfvén, Msonic, EMIC, FLR

Barkhausen [1919] heard audible 'whistles' whilst spying on allied communication

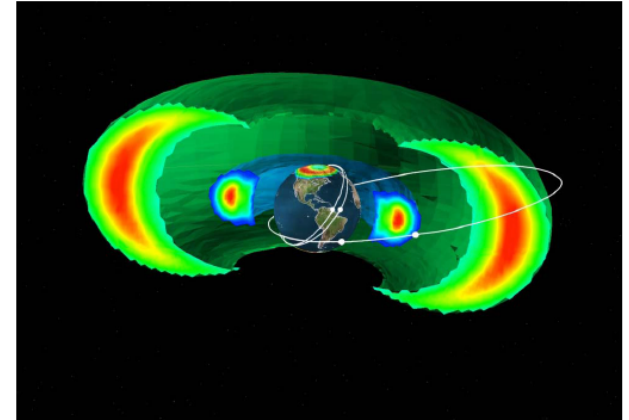
Addressing RB Physics Issues

1. Conventional System Approach

- Simultaneous multi-point sampling at various spatial scales
- High quality integrated satellite measurements

Particles generate waves – waves make particle distribution unstable - **Chicken and Egg Problem**

Radiation Belt Storm Probes (RBSP)



2. System Component Physics Approach

2.1 Laboratory Experiments

2.2 Active experiments by injection of ULF/ELF waves in the RB from ground or space

2.3 Facilitate development of RBR system options

UMD/ONR MURI Approach
PM: Bob McCoy

UMD-Stanford-UCLA-Dartmouth
– VA Tech- Mishin

Puzzle: Coupled Whistler-driven Precipitation spikes with ULF (SAW) waves

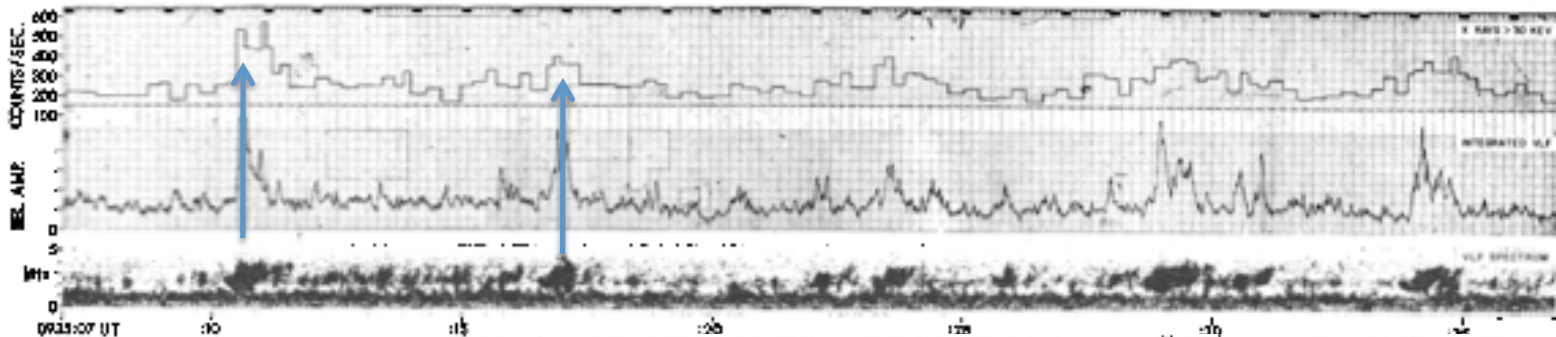
Rosenberg et al. JGR 76, 8445, 1971; Lanzerotti 6 sec micropulsations

>30 keV

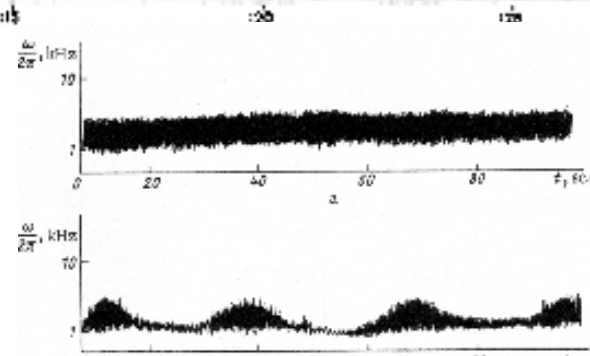
X-rays

.5-5 kHz

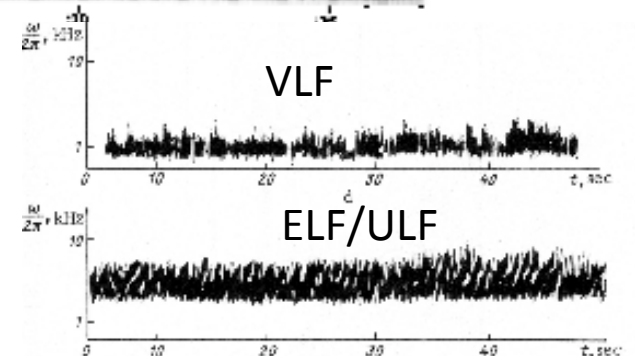
6 sec mod



Ground



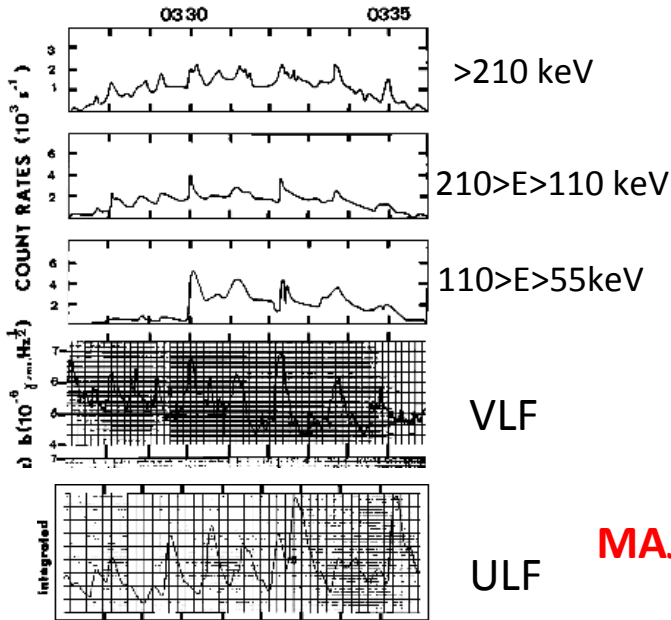
Hiss and q-periodic hiss



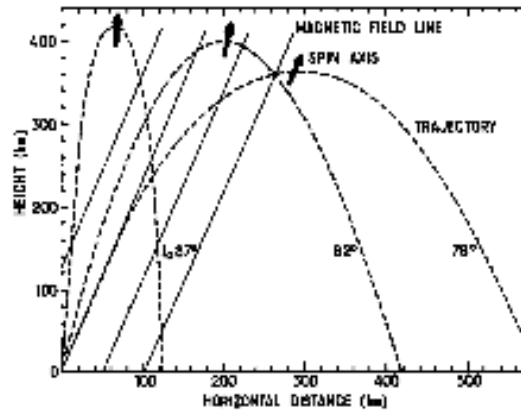
Chorus – Coherent hiss

Increase whistler energy density ->increase precipitation –RBR-> Inject whistlers (Helliwell 70's; DSX-Inan et al., 2002, Helliwell 70's) Alternative: Is it possible to inject SAW and use them to amplify whistlers or convert them to EMIC?

Speculation: SAW can lead to amplified whistler spikes



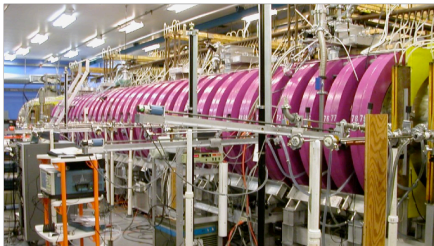
Gedrin et al. JGR, 1970



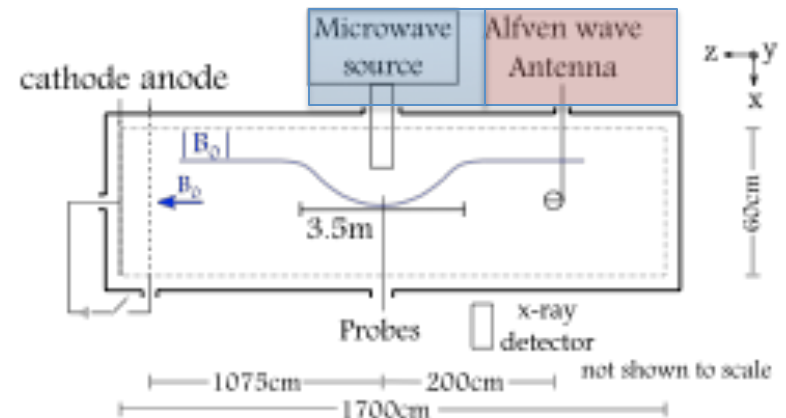
Periodic & quasi-periodic emissions:
100's of papers:
B e s p a l o v & Trakhtengerts Rev. Plasma Physics Vol. 10, 1986

MAJOR MURI OBJECTIVE: UNDERSTAND ROLE OF SAW TO MeV Electron Precipitation

Lab experiment UCLA Wang et al. PRL, April 2012



17 m,
10 sections control B
450 diagnostic ports

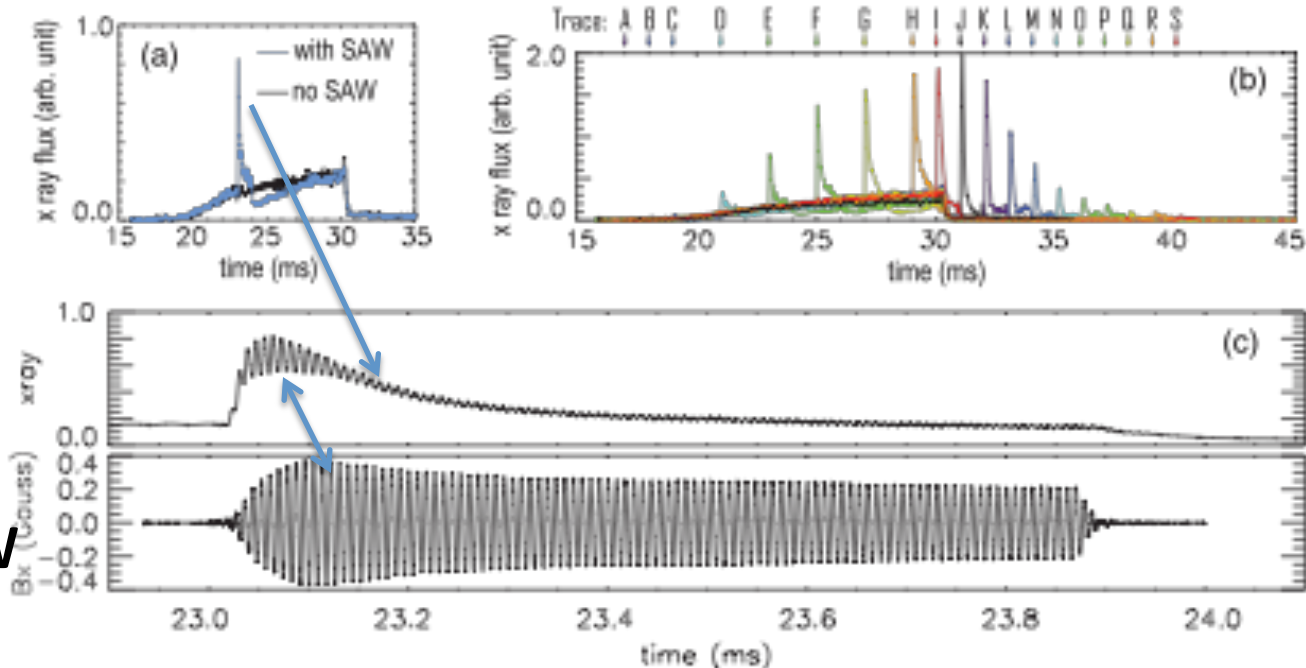


LAPD Experiment

SAW
cannot
break μ

Single pulse
X-rays

Injected SAW
100 cycles



Physics hypothesis: Loss cone drives whistlers leading to steady state loss (KP)- Injection of SAW couples (?) to whistlers giving enhanced spiky loss

$$\frac{dN}{dt} = -\alpha \varepsilon_w N + J(t)$$

$$\frac{d\varepsilon_w}{dt} = \beta N \varepsilon_w - \nu \varepsilon_w + G_w(t) + \eta \varepsilon_w G_{SAW}$$

$$\nu \equiv \frac{2}{\tau_g} |\ln R|$$

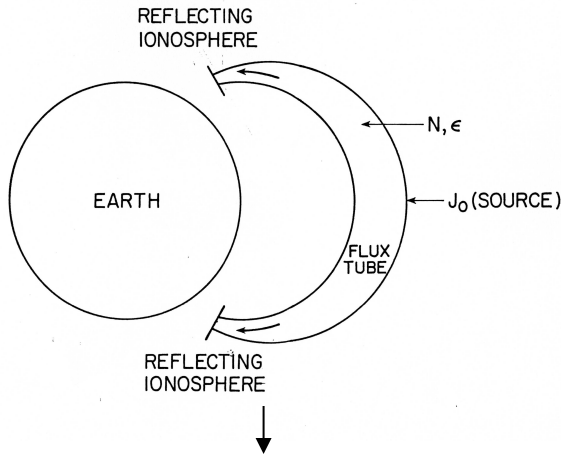
$$\varepsilon_w = (\beta / \alpha \nu) J$$

$$N = \nu / \beta$$

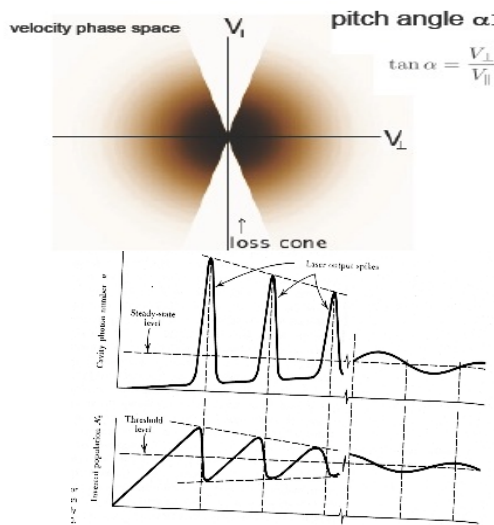
Analogy with
Maser. First
noted by
Trakhtengerts

RB AS A MASER WHY ?

Take a flux tube



Loss Cone Distribution



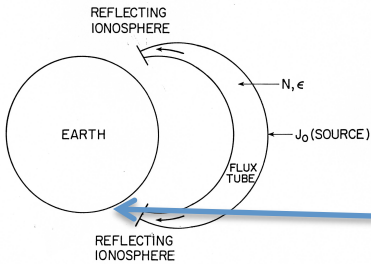
1. Fundamental modes **Whistler and Alfvén Waves**.
2. Magnetic field tube with low density magneto-plasma corresponds to a **quasi-optical resonator**.
3. High density and conduction ionospheric regions correspond to the **mirrors with reflection and transmission coefficients**.
4. The active medium is the energetic particle **loss cone** distribution **intrinsically** maintained in the geometry – **Population inversion**
5. **Pumping** can be provided by sources of **energetic particles or waves externally injected or external control of the cavity Q**.

$$\frac{dn_p}{dt} = Kn_p N_2 + KN_2 - \frac{n_p}{\tau}$$

$$\frac{dN_2}{dt} = -Kn_p N_2 - \frac{N_2}{\tau_2} + R_p(t)$$

Exhibits relaxation oscillations, spiking, Q switching controlled by the pumping rate $R(t)$

RB SAW Injection Tests

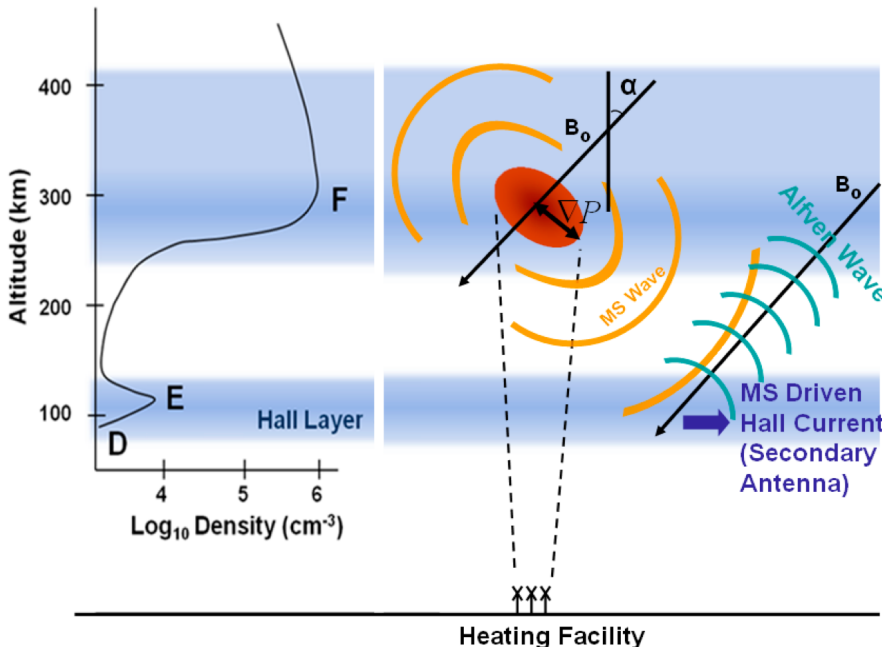


**Need Source that can inject SAW into RB
Ionospheric Heater**

Ionospheric Current Drive (ICD)

Step 1: $\Delta J = \frac{B \times \nabla \delta p}{B^2} \exp(i\omega t)$ Diamagnetic current \rightarrow MS wave

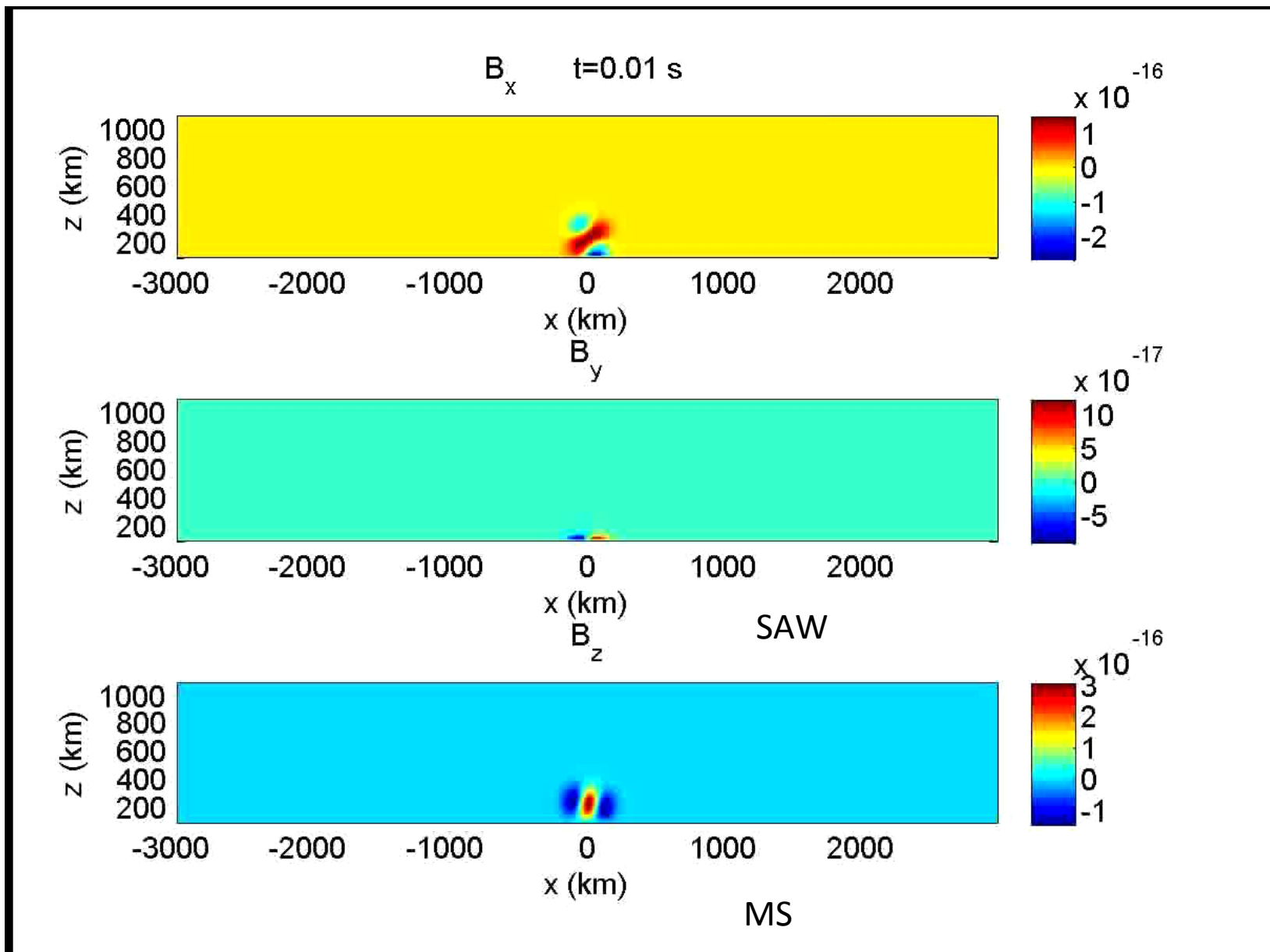
Step 2: E field of MS wave drives Hall current in E-region resulting in secondary antenna



**Injects SAW upwards
and ELF in the
Earth-Ionosphere
Waveguide**



ICD Inner RB Injection - Arecibo



Lateral Propagation of SAW signals as MS Waves

Lysak 1998

Shear Alfvén Wave

Ion Cyclotron Instability

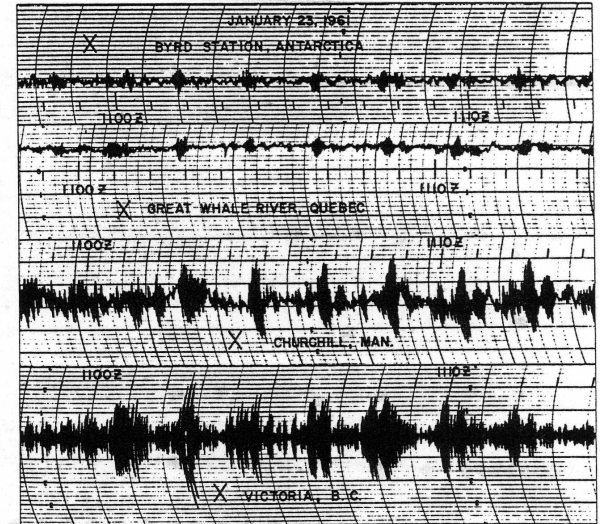
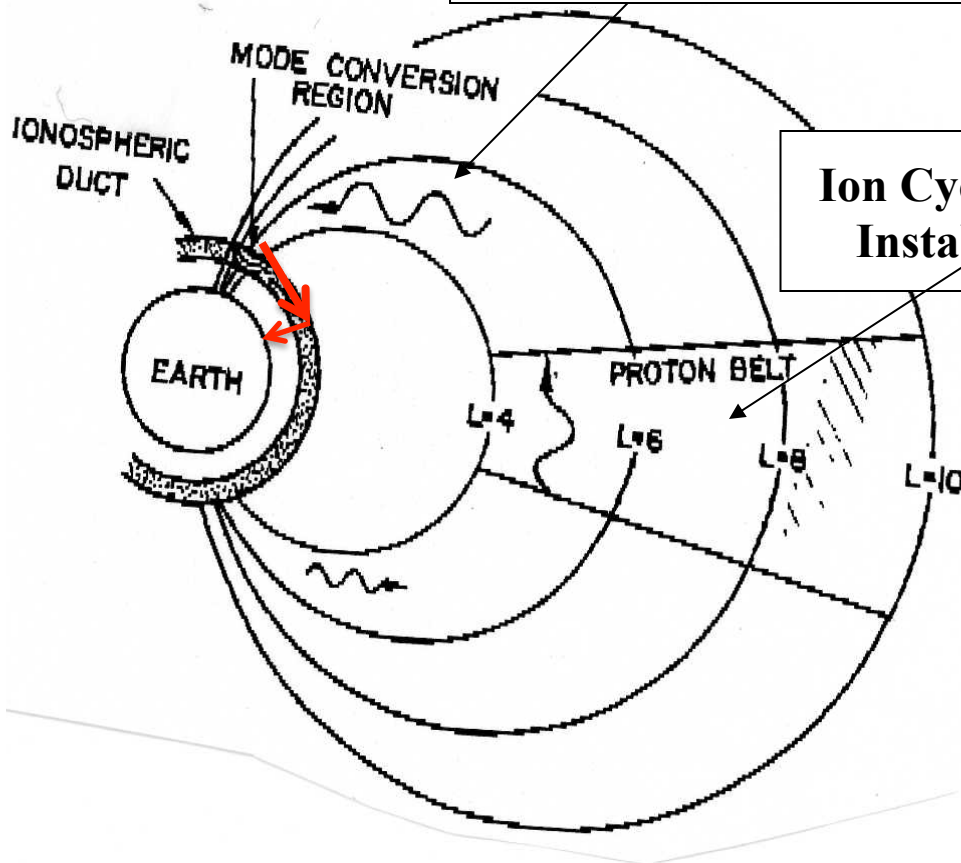


Fig. 2.1. Example of Pc 1's (pearls) recorded at four stations simultaneously. (After J. E. Lokken, J. A. Shand, and C. S. Wright, DREP photograph 2751)

Conjugate stations detect anti-phased pearl wave-packets

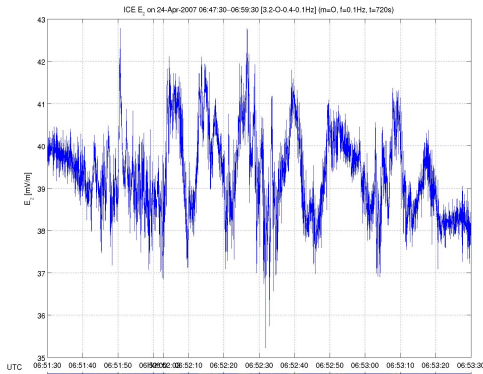


Proof of Concept ICD Injection Experiment HAARP/DEMETER

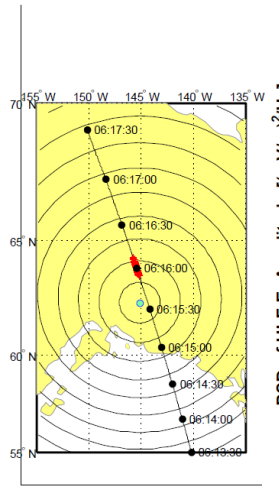
Chang-Lebinsky-Milikh-
Papadopoulos

2.8 MHz, O-mode

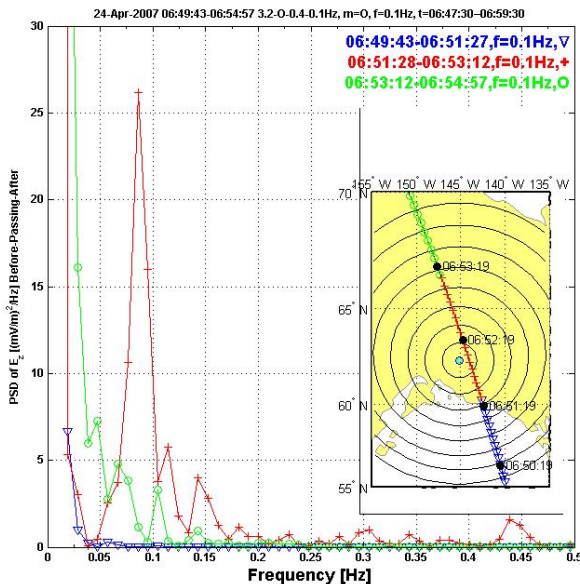
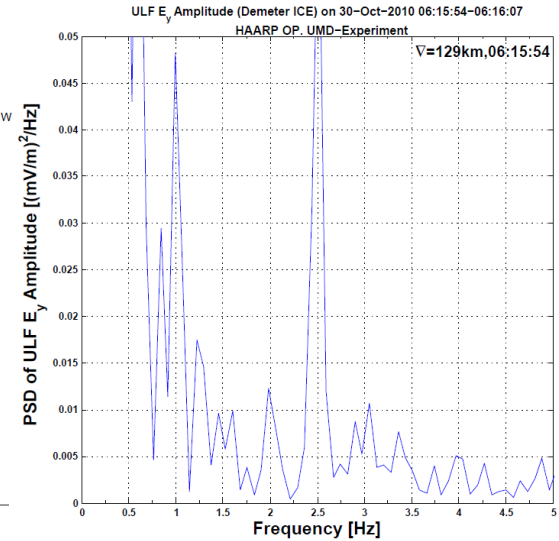
MS



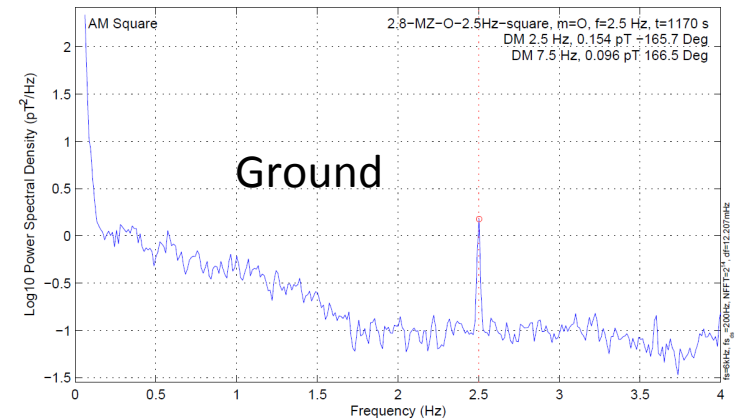
BRIOCHE



SAW

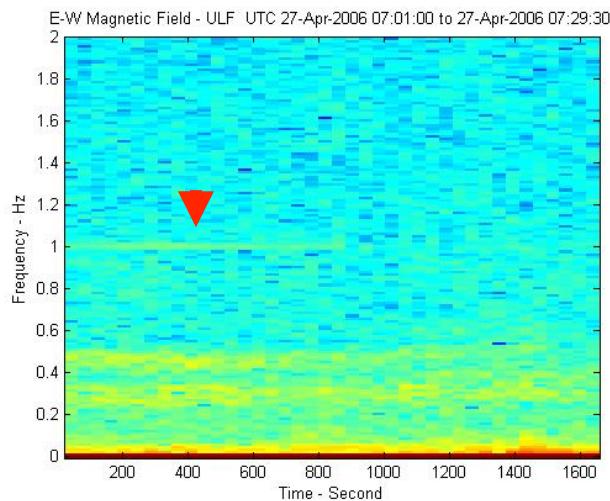
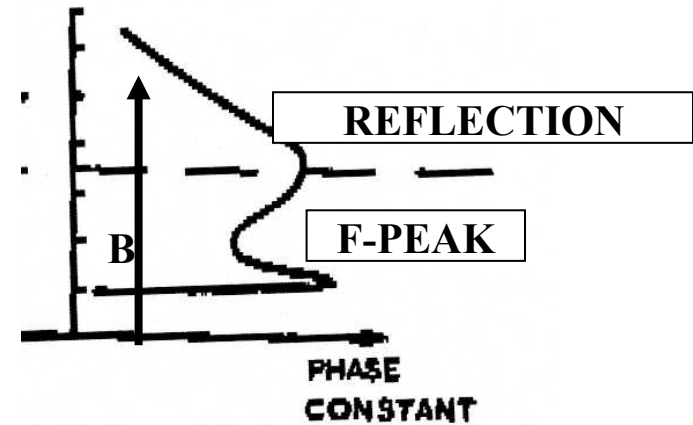
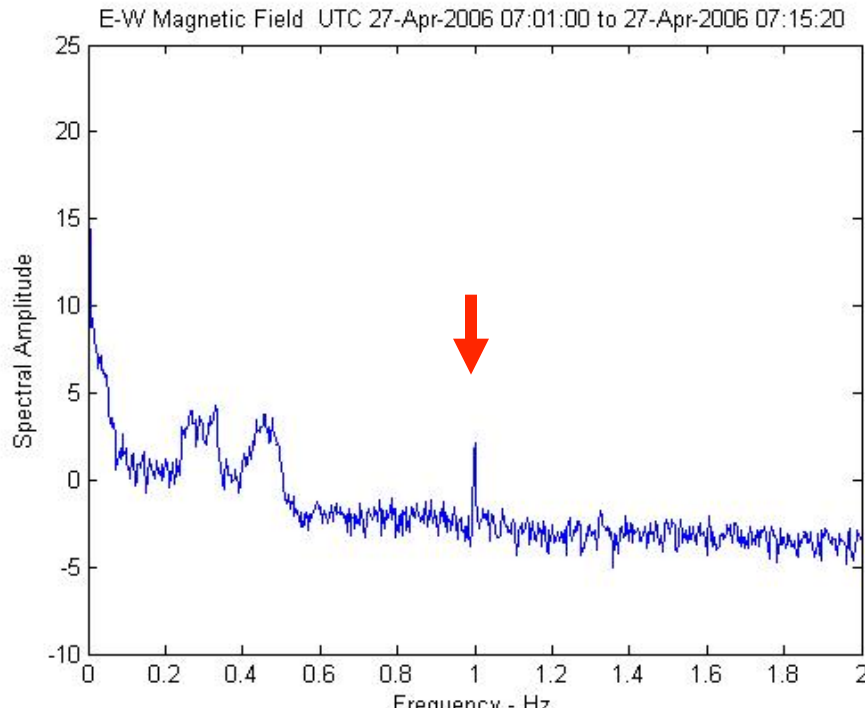


N-S B Field (Gakona NI BF4) - UTC 2010-10-30 06:00:00 to 2010-10-30 06:19:30



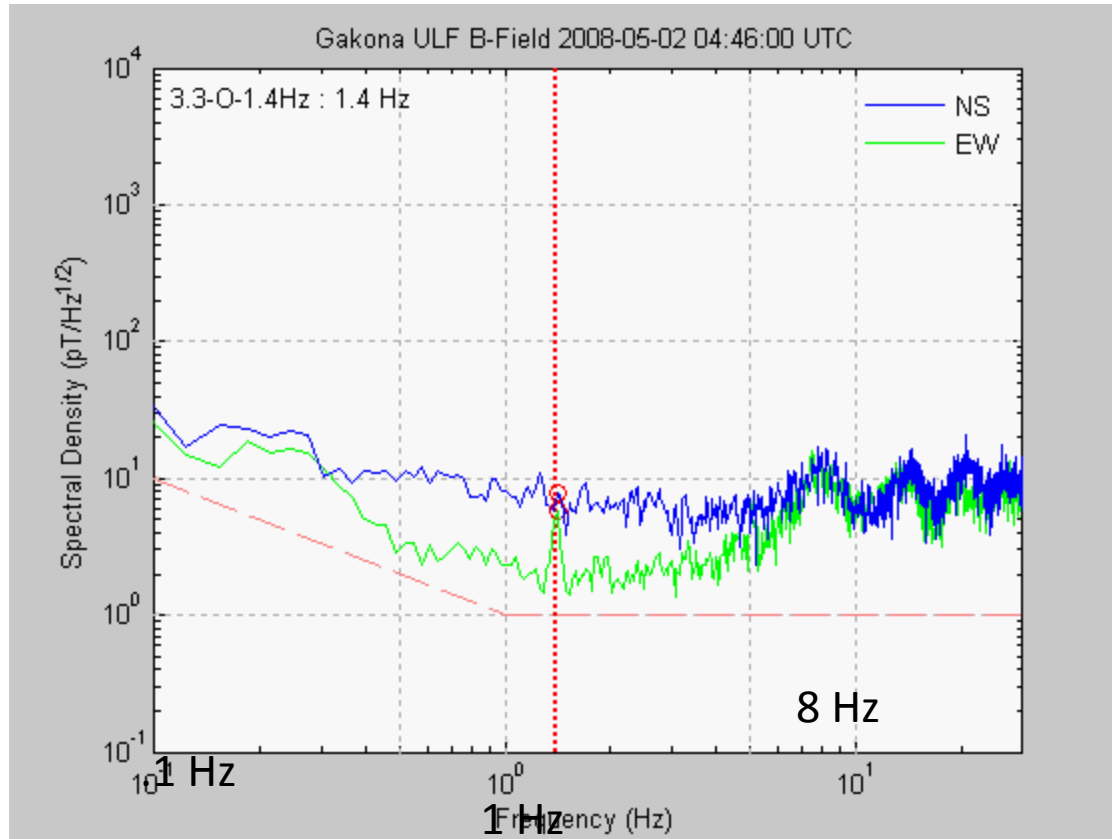
Papadopoulos et al.
GRL 2011a,b

IAR Excitation by the HAARP

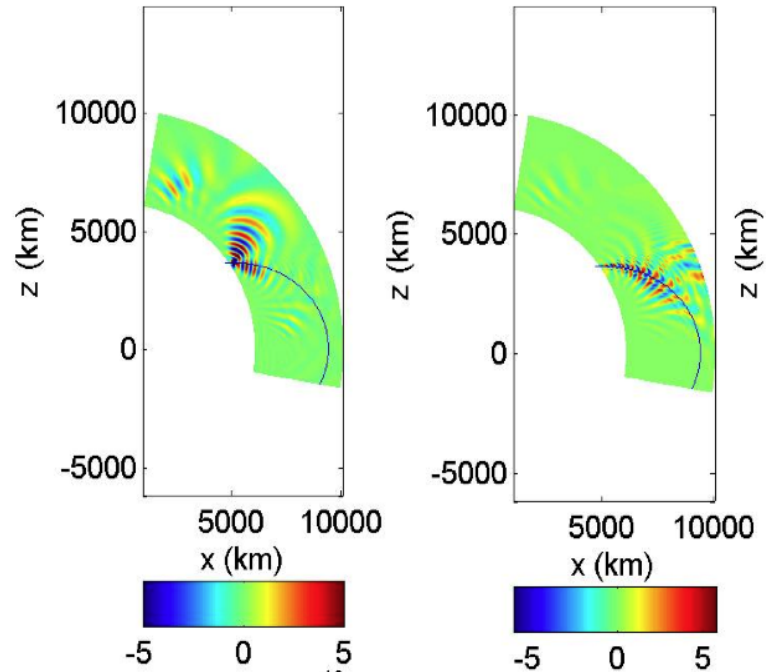
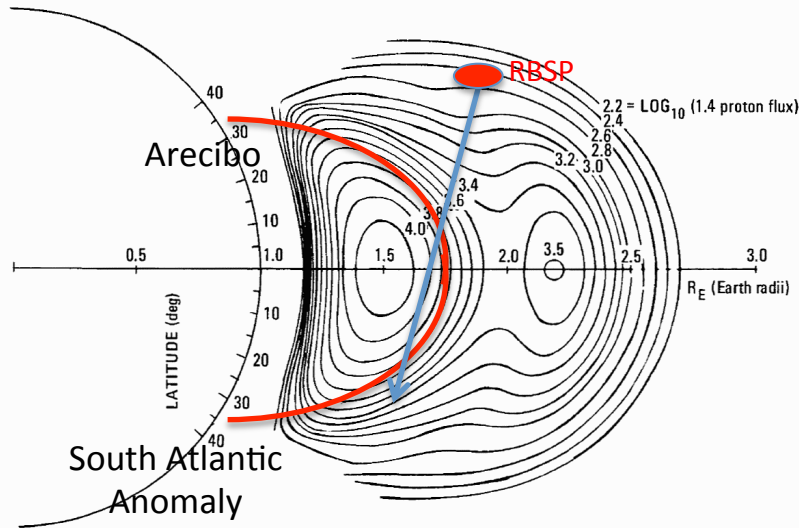


Excitation of the IAR due naturally excited waves at .25 Hz and .5 Hz and by HAARP generated SA at 1.0 Hz.

HAARP –ELF/ULF Injection



New Opportunity - Active SAW Probing of Inner RB Using the Arecibo Heater/RBSP



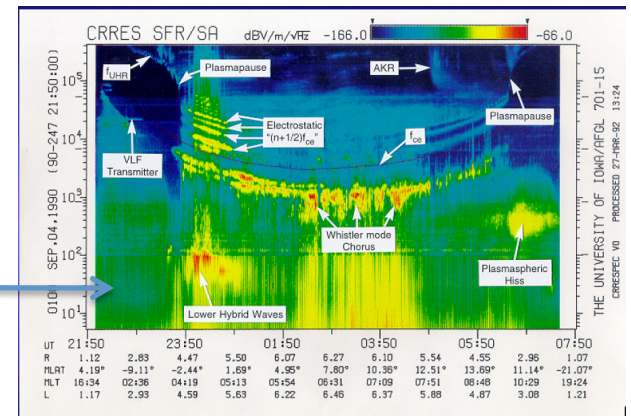
**Focus on SAW for protons
and EMIC for electrons**

Typical inner belt proton lifetimes:

10 MeV – decades

50 MeV – century

No SAW activity
Stacking



Active Probing of Inner RB Using the Arecibo Heater Using ICD – Triggered EMIC

Focus on SAW for protons and EMIC for electrons

$$\omega \approx k_z V_p$$

$$\omega \approx k_z V_A$$

$$\omega(E, \alpha) \approx \frac{\Omega}{\cos \alpha} \sqrt{\frac{M_A^2}{2E}}$$

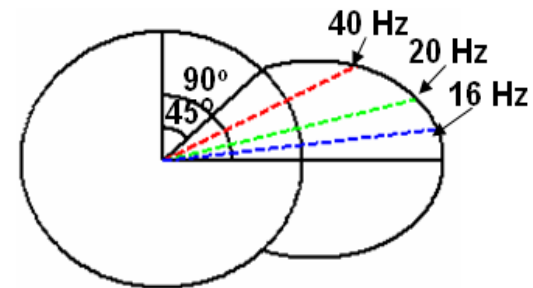
$$-k_z v_z = |\Omega_e| / \gamma$$

$$\frac{k^2 c^2}{\omega^2} = 1 - \frac{\omega_{pe}^2}{\omega(\omega + |\Omega_e|)} - \sum_{j=1}^3 \frac{\omega \omega_{pj}^2}{(\omega - \Omega_j)}$$

$$\frac{k^2 c^2}{\omega^2} \rightarrow \infty \text{ for } \omega \rightarrow \Omega_j$$

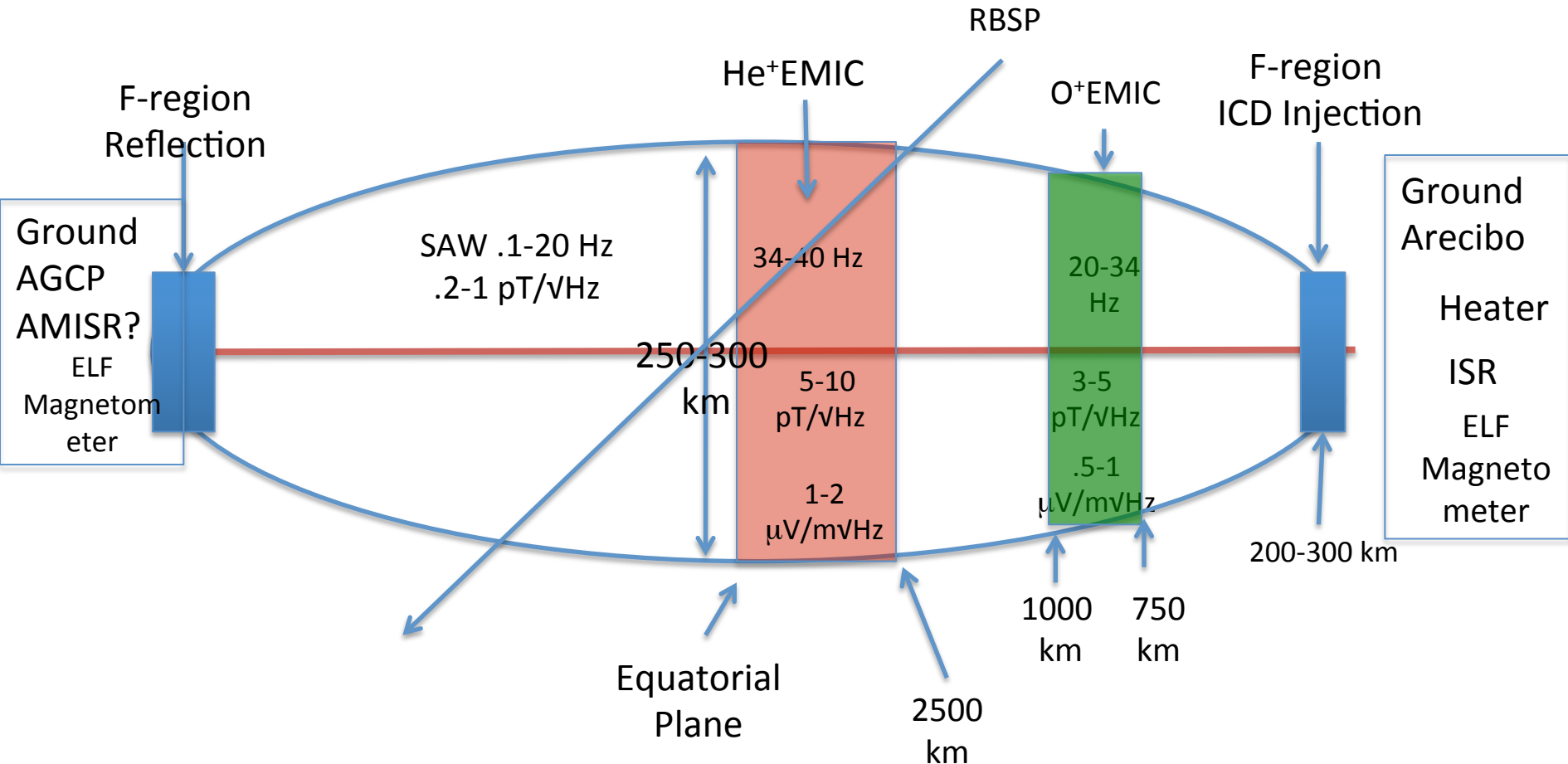
As a result $1/k_z \rightarrow |\Omega_e| / \gamma v_z$ before reaching resonance ($1/k_z \rightarrow 0$)

Proton Energy	Resonance Frequencies
30 MeV	6-16 Hz
50 MeV	5-15 Hz
100 MeV	3.5-9.5 Hz



HELIUM BRANCH Resonances

RBSP measures the waves and the energetic particles before during and after transitioning the L=1.4 flux tube so that we can use change detection and possibly statistical stacking

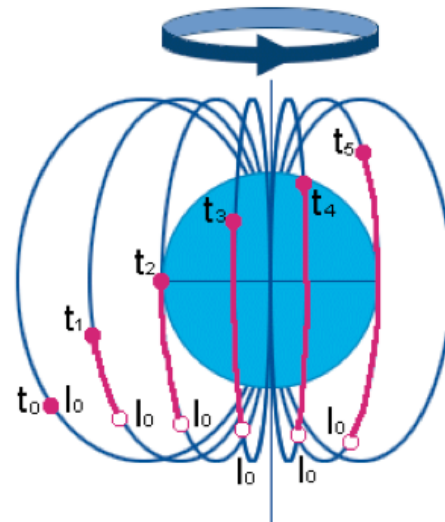


Straw-man of Arecibo Heater ICD/ RBSP Investigation

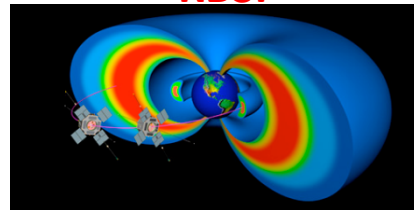
The Future

- Use Ionospheric heaters (HF) to inject ULF/ELF/VLF waves in the L-shell that spans the heater and diagnose it with RBSP, Resonance, DSX, ePOP

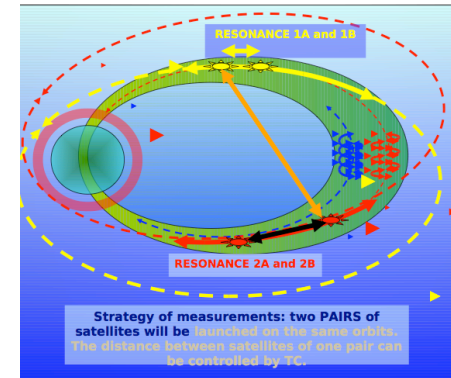
Magneto-synchronous



RBSP



Launch May 18, 2012
2 probes, <1500 kg for both
10° inclination, 9 hr orbits
~ 500 km x 30,600 km



RESONANCE (Russia)
Launch ~2012-14, 4-spacecraft
Orbit: 1800x30,000km, ~63° incl.

DSX (AFRL)
Launch ~2012
MEO, wave/
particle

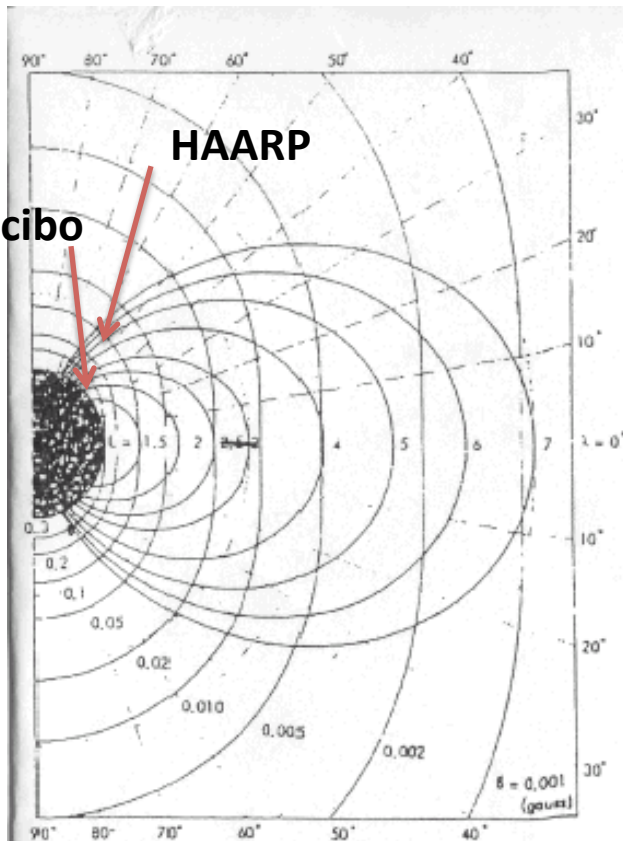
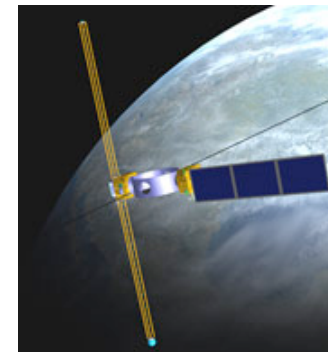
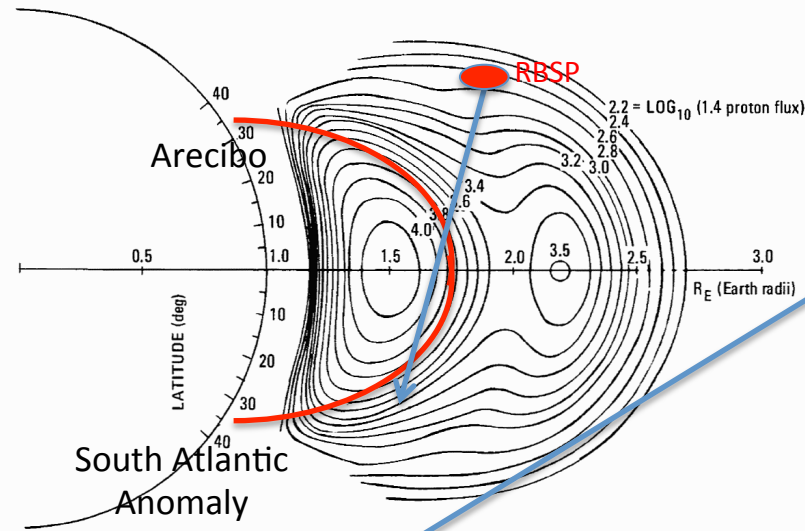


Figure 2-8. Constant-B surfaces in a dipole field.

Ionospheric Heaters
HAARP (L≈4.9)
Arecibo (L≈1.4)
Tromso (L≈5.9)
SURA (L≈2.6)

THANK YOU

Active Probing of Inner RB Using the Arecibo Heater Using ICD – Triggered EMIC



Focus on SAW for protons
and EMIC for electrons

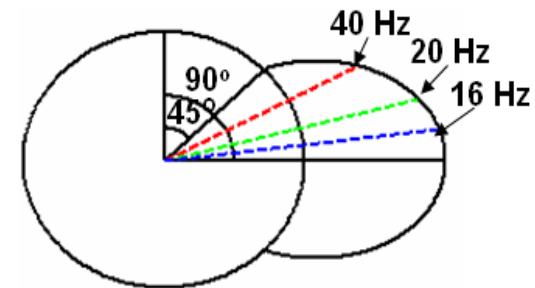
Proton Energy	Resonance Frequencies
30 MeV	6-16 Hz
50 MeV	5-15 Hz
100 MeV	3.5-9.5Hz

$$-k_z v_z = |\Omega_e| / \gamma$$

$$\frac{k^2 c^2}{\omega^2} = 1 - \frac{\omega_{pe}^2}{\omega(\omega + |\Omega_e|)} - \sum_{j=1}^3 \frac{\omega \omega_{pj}^2}{(\omega - \Omega_j)}$$

$$\frac{k^2 c^2}{\omega^2} \rightarrow \infty \text{ for } \omega \rightarrow \Omega_j$$

As a result $1/k_z \rightarrow |\Omega_e| / \gamma v_z$ before reaching resonance ($1/k_z \rightarrow 0$)

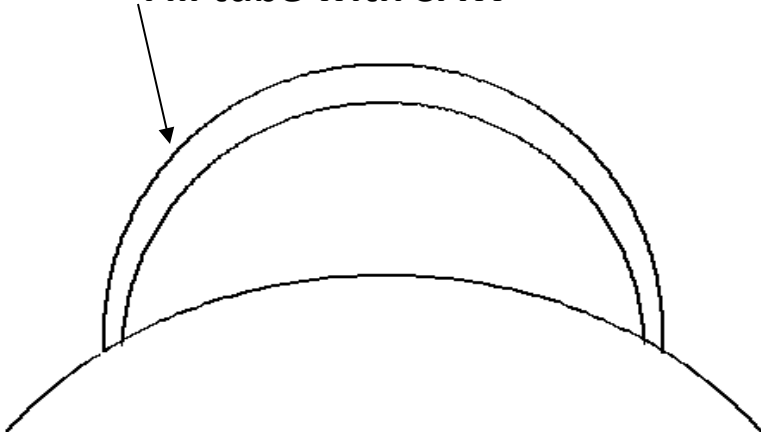


HELIUM BRANCH Resonances

Frequency Selection for Protons

Example for L=1.5

Fill tube with SAW

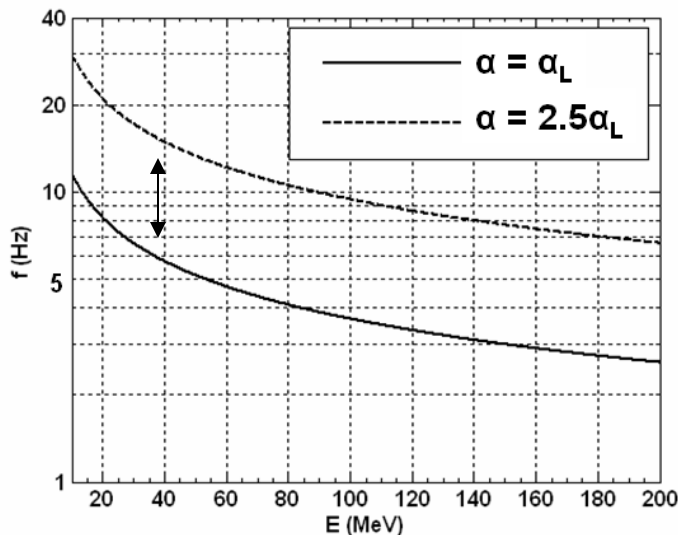


Frequency Selection for Resonance of Protons with SAW

$$\omega \approx k_z V_p$$

$$\omega = k_z V_A$$

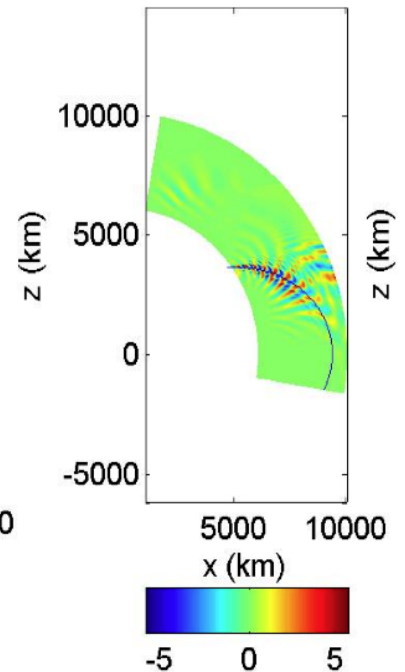
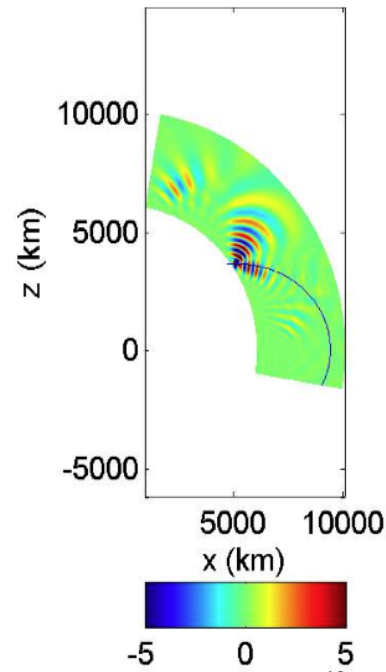
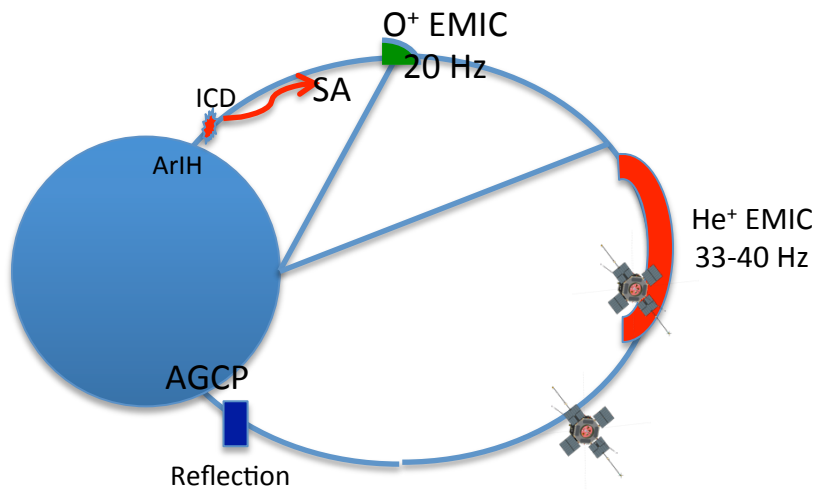
$$\omega(E, \alpha) \approx \frac{\Omega}{\cos \alpha} \sqrt{\frac{M V_A^2}{2E}}$$



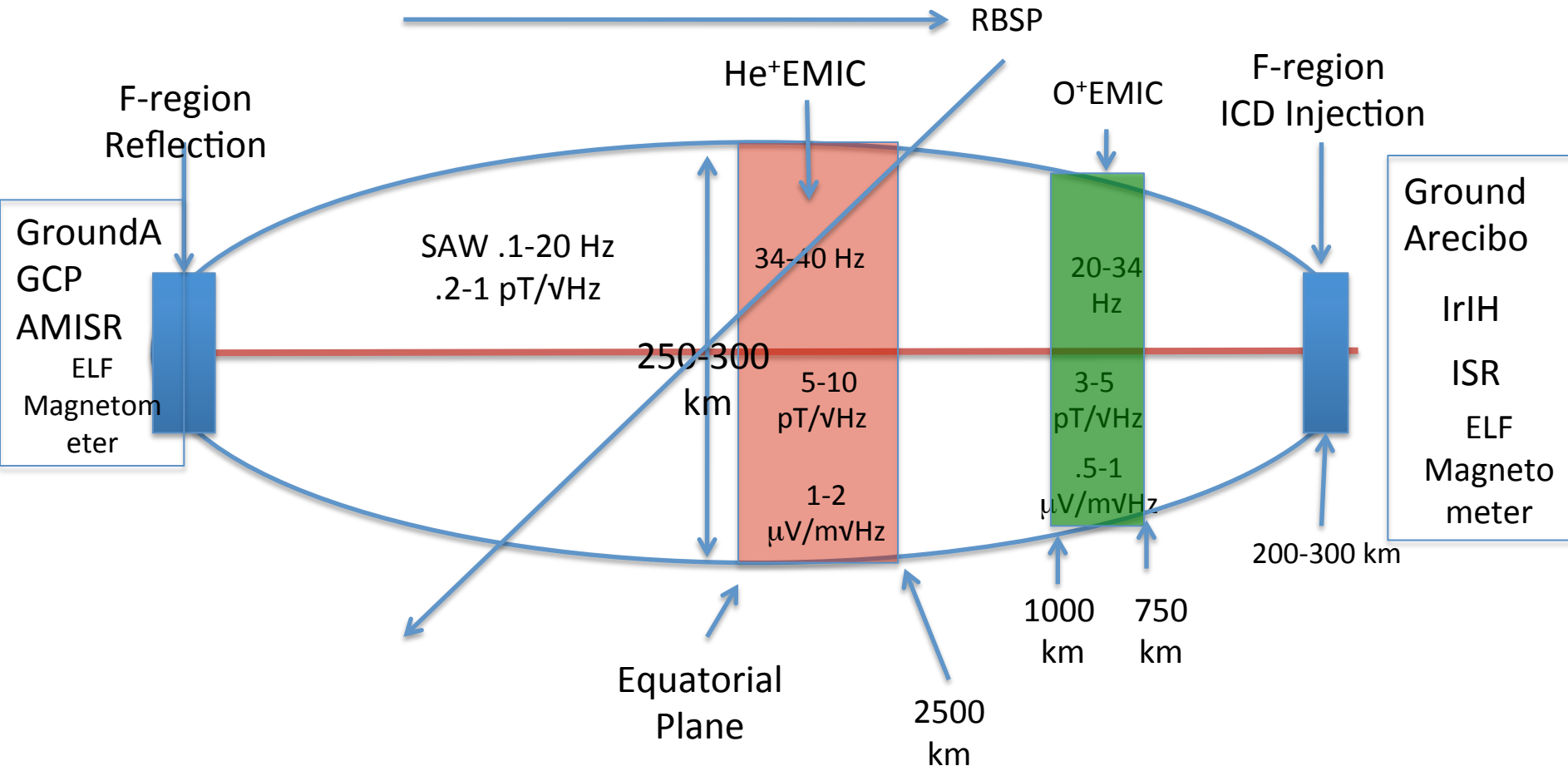
Frequency requirement for equatorial resonance with SAW at L=1.5

Frequency range 5-30 Hz

Arecibo Heater Experiments

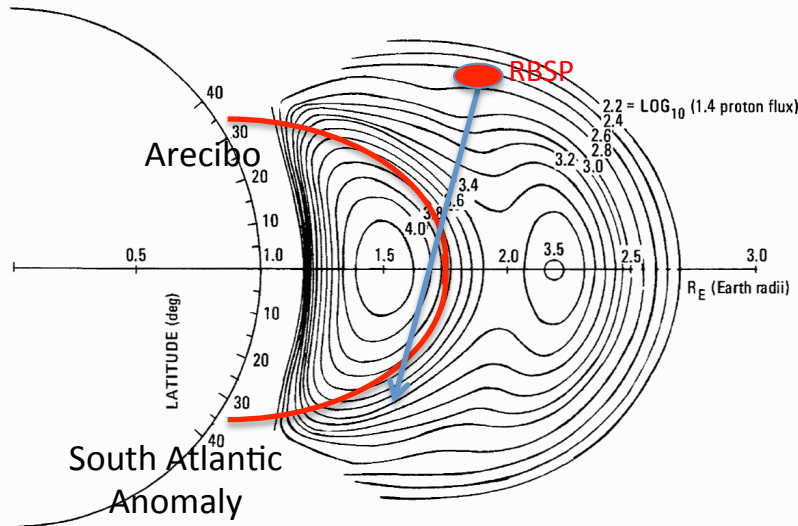


RBSP measures the waves and the energetic particles before during and after transitioning the L=1.4 flux tube so that we can use change detection and possibly statistical stacking



Back of the envelope schematic of experiments with approximate amplitudes to be verified in the experiments. Better calculations to be included in the proposal are in progress. In all experiments the ionospheric state will be measured by the ISRs. The field amplitudes will be recorded on the ground in Arcicbo and conjugate (AGCP)

Active Probing of Inner RB Using the Arecibo Heater Using ICD



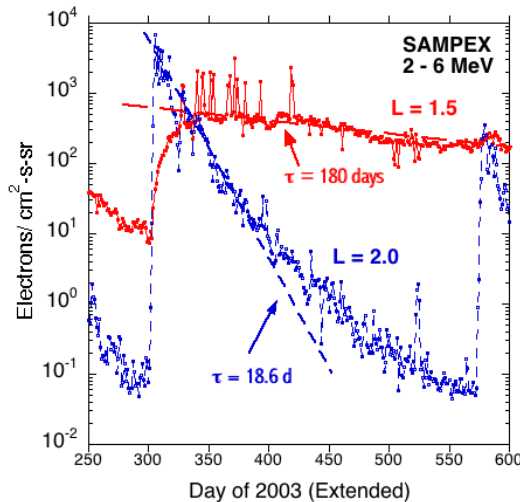
**Focus on SAW for protons
and EMIC for electrons**

WPI critical aspect of RB physics. RBSP will study interactions in the natural environment, A wave injection facility at Arecibo at frequencies that resonate with energetic protons and electrons offers **cause and effect understanding of the induced transport processes with **RBSP and other satellite diagnostics**. Also study of mid-latitude IAR and SAW and EMIC propagation**

SUPERSTOMS – CARRINGTON EVENTS

FROM 1-10 NOVEMBER, 2003 OUTER BELT CENTERED NEAR L~2.5 AND PLASMASPHERE WAS DISPLACED INWARDS LEADING IN NEW RADIATION BELT POPULATION IN THE SLOT AND INNER BELT.

DECAY RATES DEPENDED HIGHLY ON L VALUE AND VARIED FROM 35 DAYS TO MORE THAN A YEAR



BAKER AND KANEKAL 2007

TABLE IV

Chronological listing of outstanding geomagnetic storms recorded at Greenwich/Abinger, 1859–1954^a.

Date	Ranges		
	Declination (°)	Horizontal force (nT)	Vertical (nT)
01 Sep. 1859	≥92	≥625	1500
04 Feb. 1872	125	800	>950
17 Nov. 1882	115	>1090	>1060
31 Oct. 1903	119	1175	1440
25 Sep. 1909	193	1710	>1080
14 May 1921	110	≥740	≥460
25 Jan. 1938 ^b	126	1055	570
16 Apr. 1938	307	1375	500
24 Mar. 1940	131	1370	1000
01 Mar. 1941	186	1650	1310
18 Sep. 1941	123	1250	1115
28 Mar. 1946	162	1660	920
21 Sep. 1946	136	925	450

^aJones, 1955.

^bThis storm was not included in Chapman and Bartels' (1940) list of great storms from 1857–1939.